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The sunny side of the Ice Age: Solar insolation as a potential long-term pacemaker for demographic developments in Europe between 43 and 15 ka ago

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## 17 ABSTRACT

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18 After a decade of research under the auspices of the project 'Population dynamics: Land use 19 patterns of populations between the Upper Pleistocene and Middle Holocene in Europe and the Middle East', a consistent sequence of high-resolution palaeodemographic datasets has 20 21 been compiled, spanning the entire Upper Paleolithic from roughly 43 to 15 ka ago. When viewed in a diachronic perspective, long-term trends of increasing and decreasing population 22 23 sizes and densities, as well as expanding and contracting areas of settlement activities (Core 24 Areas) become evident. An environmental parameter with potentially strong impact on 25 hunter-gatherers societies is solar insolation. The sun's energy available at a certain time and place is one of the main factors influencing plant growth. The amount of plant biomass, 26 27 in turn, largely determines the amount of animal biomass in a landscape. The latter is the 28 most important source of energy for European Upper Palaeolithic hunter-gatherers. Here, we aim to assess the potential influence of changes in solar insolation on palaeodemographic 29 development in Western and Central Europe between 43 and 15 ka ago. To this end, we 30 31 present estimates on the number, density and spatial distribution of hunter-gatherers for five consecutive Upper Paleolithic periods in Europe. Based on regional climate model data for 32 33 the Last Glacial Maximum and solar insolation data, we calculate (1) differences in the 34 amount of Megajoule per square meter (MJm<sup>-2</sup>), (2) start, end, and length of the growing season, as well as (3) summed temperatures during the entire duration and during the first 35 30 days of the growing season. A comparison shows that a moderate, steady increase of 36 population size and an extension of the Core Areas between 43 and 29 ka coincides with an 37 increase in the summed temperature, particularly during the first 30 days of the growing 38 39 season. The period between 29 and 25 ka shows a pronounced population decline, a strong contraction of Core Areas and a withdrawal from higher latitudes. This coincides with a 40 markedly delayed growing season, a decrease in summed temperatures, and a marked 41 42 reduction in solar insolation during the early part of the growing season. Between 25 and 20 ka, we see consolidation and renewed growth in both numbers and densities of people and 43 44 an expansion and merging of Core Areas in Western Europe. There is a slight gain in the energy available during the first half of the year. The growing season starts earlier and is of 45 46 increasingly longer duration, coupled with rising summed temperatures. Between 20 and 15 ka, the meta-population grows strongly, Core Areas expand and the higher latitudes become 47 48 repopulated. This coincides with further increasing summed temperatures and an ever-earlier 49 start to the growing season. Additionally, the gain in available solar energy during the early 50 phase of the growing season is particularly pronounced. These findings indicate that solar insolation and its effects on an ecosystem's phenological configuration over different trophic 51 52 levels is indeed an important factor in the long-term demographic development of Paleolithic 53 hunter-gatherers.

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55 **Key words**: Palaeodemography, Upper Palaeolithic, Solar Insolation, Phenology, Growing 56 Season, biomass production

# 1. INTRODUCTION

Over the last two decades, several innovative approaches to paleodemography (Bocquet-60 Appel and Demars 2000; Bocquet-Appel et al. 2005; French 2015; French and Collins 2015; 61 62 Kretschmer 2015: Maier et al. 2016; Maier and Zimmermann 2017; Schmidt and Zimmermann 2019; Tallavaara et al. 2015) and population genetics (e.g. Fu et al. 2016; 63 Posth et al. 2016) have advanced research on Upper Palaeolithic population dynamics. At 64 the same time, a number of factors have been discussed with regard to their explanatory 65 potential for the observed demographic developments; these include technological gain and 66 67 loss, social organisation and norms, and environment (Boserup 1965; Bradtmöller et al. 2010; French 2018; Gamble 2002; Gamble et al 2004; Henderson and Loreau 2019; 68 69 Roebroeks 2006). To be clear, social and technological factors certainly play an important 70 role in human population dynamics and innovations or a loss of technological knowledge can 71 affect population dynamics strongly. However, for large-scale studies such as this one, there are two major problems for exploring their impact on the number, density and distribution of 72 73 humans in a landscape. The first problem is that social, technological, and demographic 74 developments are interdependent, where social organisation may influence demographic 75 developments and vice versa. Thus, it is extremely difficult to distinguish cause from effect. The second problem is that social organisation and technological repertoires depend on 76 77 human decision making, which is observable at a different timescale than long-term 78 developments. Long-term demographic developments over the period of several millennia 79 are incompatible with human decision-making. Environmental change, on the other hand, can be meaningfully observed at small and large temporal scales. The impact of human 80 societies on the environment during the Palaeolithic is subject to debates. Topics range from 81 82 reflections on negative impacts on forest cover (Kaplan et al. 2016) to the involvement in the 83 extinction of larger animals (e.g. Koch and Barnosky 2006; Sandom et al. 2014). However, during the entire period of observation between 43 and 15 ka, human influence on 84 environment and particularly on climate seems to have been comparatively small, making it 85 86 relatively easy to distinguish between cause and effect. Nonetheless, proving a causal relationship remains difficult, not least because of incompatible or insufficient temporal and 87 spatial resolution in the archaeological data. The introduction of absolute numbers and 88 densities of human populations as a new, quantified variable at a comparatively high spatial 89 and temporal resolution is a first step in addressing this problem. While acknowledging the 90 91 importance of social and technological factors for demographic developments, this paper will focus on environmental change and its explanatory potential for the changes we observe in 92 the currently available palaeodemographic data. 93

94 The data for successive time-slices (Kretschmer 2015; Maier et al. 2016; Maier and Zimmermann 2017; Schmidt and Zimmermann 2019), has a temporal resolution of between 95 96 4 and 5 ka. Temperature change, as captured in the ice-core records, varies at a frequency much too high to be meaningfully compared to our data (Figure 1). Moreover, low 97 98 temperatures alone are not a limiting factor for human settlement activities. To the contrary, it 99 has been shown that some Palaeolithic hunter-gatherer communities preferred permafrost over equally accessible non-permafrost areas (Demidenko 2018: Maier et al. 2016). 100 However, it would be overstated to assume that environmental thresholds were virtually 101 meaningless for well-adapted Palaeolithic hunter-gatherers. Rather than temperature alone, 102 the availability of animal biomass was probably a key factor to allow permanent settlement in 103 104 a region (Kelly 1983; Mandryk 1993). The amount and diversity of animal biomass, in turn, is 105 dependent on the amount and quality of primary plant biomass (Olff et al. 2002). A very important factor for the production of plant biomass is solar insolation (Monteith 1994). At the 106 107 same time, this environmental parameter changes gradually and slowly, making it compatible to our demographic estimates (Figure 1). Solar insolation affects plant biomass production in 108 109 two primary ways. Firstly, it drives air temperatures near the surface, one of the main factors determining the length of the growing season (Jiang et al. 2018). This correlation is so strong 110 111 that temperature-based models to estimate insolation perform better than those based on sunshine, particularly when averaged to a monthly base (Hassan et al. 2016). Secondly, it 112 113 provides the energy needed for photosynthesis and there is a linear relationship between

solar insolation and biomass production (Gosse et al. 1986). Therefore it seems to be an 114 115 important factor in an ecosystem's phenological configuration, i.e. in the timing of periodic life cycle parameters of plants and animals (Gienapp et al. 2014; Parmesan and Hanley 2015; 116 Liu et al. 2016, Huang et al. 2019). Phenological shifts may be effective over different trophic 117 118 levels and lead to mismatches in resource availability and predator-prev relations (Burrows et al. 2011; Ohlberger et al. 2014). It has been shown for birds that these mismatches affect 119 migrating species particularly strong when an expected food resource is not available upon 120 arrival (Both et al. 2010; Mayor et al. 2017). 121

122 In the following, we will evaluate estimates of the number and density of people as well as 123 the position and extent of Core Areas against insolation data for the period between 40 ka 124 and 15 ka.

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Figure 1: Overview on timeframe and temporal scales of data. Population density estimates are given for the 130 131 generalised Total Area of Calculation (TAC) of 2.3 Million km<sup>2</sup> (see Figure 2 for spatial extent), terminology for 132 archaeological phases corresponds with Table 1. Note: estimates for the Magdalenian in total have not been calculated (see Kretschmer 2015), but would resemble those of the Final Magdalenian. Vertical lines indicate 133 "insolation reference dates": Blue lines indicate Insolation values used for synchronic illustrations (Figure 2), 134 135 orange lines for calculating differences in insolation for diachronic developments (Figure 9), grey line at 21ka 136 indicates anchor point of the PMIP3 LGM climate model data. Timeframes covered in Figure 2 and 9 are 137 indicated and labelled accordingly. Insolation (30° N, June and December) and GISP 2 Delta180/160 are taken from Climate Data CalPal (Weninger and Jöris 2008, accessed 27.06.2019). 138

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# 2. MATERIAL AND METHODS

145 2.1 PALEODEMOGRAPHIC AND SPATIAL DATA

The study uses spatial information on site locations and raw material transport as well as 146 147 derived demographic data for the periods of 42-33 ka (Aurignacian, Schmidt and Zimmermann 2019), 33-29 ka and 29-25 ka (Gravettian subdivided into an early phase 1 and 148 later phase 2, Maier and Zimmermann 2017), 25-20 ka (LGM, Maier et al. 2016), and 20-14 149 150 ka (Magdalenian, Kretschmer 2015). The approach to estimate population sizes and densities, the "Cologne Protocol", was developed and described for Neolithic and younger 151 periods (Zimmermann et al. 2009) and subsequently transferred to hunter-gatherer contexts 152 (Kretschmer 2015). For details on the protocol, we refer the reader to Maier et al. (2016) and 153 154 the supplementary material of Maier and Zimmermann (2017). Briefly, the protocol 155 distinguishes areas of intensive use, so-called Core Areas, from areas which have been 156 used either ephemerally, temporarily (e.g. during short phases of perceived climatic amelioration) or even not at all. Core Areas thus provide the minimum, but also the most 157 158 robust evidence for human presence on a large spatial and temporal scale (e.g. Klein et al. In prep.). Core Areas are modelled within a defined map section, called Total Area of 159 160 Calculation (TAC). The spatial distribution of Core Areas and the TAC used for the European Upper Palaeolithic is given in Figure 2. In a next step, spatial information on lithic raw 161 material transport is used to estimate the number of groups within a Core Area. Using 162 information on group sizes from the ethnographic literature, number and density of people 163 within a Core Area and within the TAC are inferred. Table 1 reviews the basic data for the 164 paleodemographic estimates. For the Magdalenian we used data from the final Magdalenian 165 period only, instead of averaging the higher resolution data provided by Kretschmer (2015). 166 Similarly, for reasons of comparability, the demographic density estimates presented in 167 168 Table 1 are calculated based on a unified TAC, comprising 2,300,000 km<sup>2</sup> and illustrated in Figure 2, thus partially differing from previously published estimates. Core Areas for the final 169 Magdalenian located outside the TAC (e.g. in Great Britain and Italy) were not considered for 170 171 calculating spatial differences in Core Area distributions.

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# 174 2.2 ENVIRONMENTAL DATA

Insolation data after Berger (1978) in Watt per square meter (Wm<sup>-2</sup>) was obtained from the R 175 176 package palinsol (Crucifix 2016) for twelve successive "insolation reference dates" at 42, 37.5, 33, 31, 29, 27, 25, 23.5, 21, 20, 17, and 15 ka cal BP (see Figure 1). These insolation 177 reference dates were chosen to coincide with the boundaries and midpoints of the periods for 178 179 the palaeodemographic estimates to provide an optimal fit of the data (Figure 2). The only exception is the LGM period, for which two internal insolation reference date are provided. 180 181 This accounts for the observation of the transition between the Solutrean and Badegoulian in the archaeological record at around 23.5 ka as well as for the anchor point of our climatic 182 data at 21ka, which is the focus time of the PMIP3 (Paleoclimate Modelling Intercomparison 183 Project, Phase 3, Braconnot et al. 2012) LGM climate models (21 ka). Averaged daily 184 temperatures (2 meters above ground level) were obtained from a 30 year long regional 185 climate model simulation (horizontal grid spacing ~50km) with the WRF model (Weather 186 Research and Forecasting, Skamarock et al. 2008) that was nested into the coarse gridded 187 (~200 km horizontal grid spacing) MPI-ESM-P (Max Planck Institute for Meteorology Earth 188 System Model in Paleo model, Giorgetta et al. 2013) LGM simulation. The regional WRF 189 190 model has been adapted to LGM boundary conditions (e.g. ice sheets, lowered sea level, orbital parameters) and is forced by 6-hourly MPI-ESM-P data at its lateral boundaries 191 192 (Ludwig et al. 2017). Additionally, an adjustment of sea surface temperatures based on the MARGO project (Multiproxy Approach for the Reconstruction of the Glacial Ocean surface. 193 194 MARGO Project Members 2009) yield a better agreement of the WRF model results with the available proxy data (Ludwig et al. 2017). For comparison with the present-day, an additional 195 196 WRF simulation has been performed that was forced by MPI-ESM-P data for present day 197 climate simulation.

In order to transform these data into information meaningful for the production of primary biomass, we estimate for each insolation reference date the available energy per month at a given latitude in Megajoule per square meter (MJm<sup>-2</sup>), as well as the start, end, length, and summed temperature of the growing season.

202 To transfer monthly Wm<sup>-2</sup> into monthly MJm<sup>-2</sup> we use the following function

 $MJm^{-2}_{month} = \left(\frac{Wm^{-2}}{1000}\right) \times ld_{lat} \times nd \times 3.6$ 

where  $Id_{lat}$  is the length of daylight at a given latitude under current orbital conditions, *nd* is the number of days per month and 3.6 is the factor to transform kWh into MJ. Given the large scale of this study, we ignore insolation distortions introduced by local topography or daily differences due to cloud cover.

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By most definitions, the growing season starts with six consecutive days of a mean daily temperature near the surface above 5°C and ends with six consecutive days below 5 °C of mean daily temperature (Jiang et al. 2018). Since mean daily temperatures in the model data are averaged over 30 years, increases and decreases are rather steady and reversions are rare. In order to account for this increased steadiness, we calculated the length of the growing season as the number of days between the first of the first three consecutive days above 5°C and the last day before the first three consecutive days below 5°C.

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In order to estimate the start, end, and length of the growing season at 50°N and 45°N for 217 each of the twelve insolation reference dates, we used the finding by Van Meerbeeck et al. 218 219 (2009: 44) that during MIS 3 an increase in 4 Wm<sup>-2</sup> resulted in an increase of 1°C, based on the seasonal global mean July surface air temperature range difference between model data 220 of stadial conditions during MIS3 and the LGM. We calculated the differences in Wm<sup>-2</sup> per 221 month for each pair of consecutive reference dates and divided it by 4 to estimate the 222 223 differences in °C. We then added the difference per month to each day within that month. This of course leads to a slight overestimate of the early days and a slight underestimate of 224 225 the late days in a month for the first half of the year and vice versa for the second half. 226 However, given the scope of this study, we consider the differences negligible.

In doing so, we can estimate the start, end, length, and summed temperature of the growing
season for each insolation reference date.

The following results are shown for single time slices, as the difference between two consecutive time slices and as an accumulated trend over all time slices. By comparing changes in the spatial distribution of Core Areas between two time slices, possibly relating to expansions or contractions of human occupation, with changes in insolation, we analyse the impact of these changes on hunter-gatherer populations.

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# Table 1: Overview of timeframe and database for each phase.

Archaeological Period	ka cal BP	Dur atio n (ka)	Numb er of sites includ ed	Numbe r of nites / ky	Core Areas (km <sup>2</sup> )	P	opulation si	ze	Population density estimate per 2.3 Mio km <sup>2</sup>			
						min	median	max	min	media n	max	
Aurignacian (all)	42 - 33	9	382	43	103,686	880	1,550	3,800	0.038	0.067	0.165	
Aur P1 (Proto/Early)	n.a.	5	117	23	81,900	n.a.	n.a.	n.a.	n.a.	n.a.	n.a.	
Aur P2	n.a.	4	317	79	128,600	n.a.	n.a.	n.a.	n.a.	n.a.	n.a.	
Gravettian P1	33 - 29	4	347	87	243,039	1,660	2,760	3,610	0.072	0.120	0.157	
Gravettian P2	29 - 25	4	163	41	123,810	660	1,000	1,530	0.029	0.044	0.067	
Last Glacial Maximum	25 - 20	5	396	79	275,413	1,330	3,240	6,260	0.058	0.141	0.272	
Magdalenian (final)	20 - 15	5	1,002	200	332,949	4,820	7,600	10,520	0.210	0.33	0.46	



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Figure 2: Distribution of Core Areas of the Upper Paleolithic plotted against insolation (Wm<sup>-2</sup>) during 254 growing season. For reasons of comparability, the growing season is set from April to September in this graph. 255 Individual growing seasons are given in Figure 7 and Table 4. Latitudinal changes in insolation are highlighted by the 425 in Wm<sup>-2</sup> isoline for visualisation. Core Areas falling within the Total Area of Calculation (TAC, grey line) 256 257 are considered during further analysis. a) and b): 42-33 ka cal BP (Aurignacian P1 (a) and P2 (b) plotted in dark 258 grey onto Aurignacian all Core Areas in light grey), insolation at 37.5; c) 33-29 ka (Gravettian P1), insolation at 31 259 ka; d) 29-25 ka (Gravettian P2) insolation at 27 ka; e) 25-20 ka (LGM), insolation at 23.5 ka; f) 20-15 ka 260 (Magdalenian final), insolation at 17 ka. Coastline is -75 m b.c.s.l (a and b), -80 m b.c.s.l. (c and d), -120 m b.c.s.l. 261 (e) and -115 m b.c.s.l. (f) (taken from: Zickel et al. 2016).

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# 3. RESULTS ON POPULATIONS SIZES, DISTRIBUTION AND INSOLATION

#### 3.1 PALEODEMOGRAPHIC ESTIMATES 266

267 The paleodemographic estimates (Figure 1) show an increase in the number of people during the period from 42 to 33 ka (Aurignacian) and to the period between 33 and 29 ka 268 (Early Gravettian). This population growth is followed by a strong decline during the period 269 between 29 and 25 ka (Late Gravettian), with absolute numbers decreasing even below the 270 initial "starting" population at 42 ka. This decline coincides with a withdrawal from the higher 271 latitudes. The period between 25 and 20 ka (Solutrean, Badegoulian, Epigravettian) is a 272 period of population consolidation and renewed growth in Western Europe, while the 273

population in Central Europe remains at low levels. This only changed between 20 and 15 274 275 ka, when populations in both Western and Central Europe increased strongly and the higher latitudes were resettled (Table 1). 276

In calculating the amount of overlap and discontinuity between the expanding, contracting, 277 278 newly emerging and vanishing Core Areas of consecutive time slices, it is possible to quantify the spatial population dynamics. Here, overlapping Core Areas are seen as 279 indicative of continuity in human presence, whereas expanding or contracting ones are 280 281 understood as indicating discontinuity. Interestingly, percentages of areas either contracting or expanding vary considerably through time, while spatial overlap of Core Areas for two 282 283 consecutive phases is constant and covers around 30% (Table 2) of the entire summed area - except for the Magdalenian, where the rapid expansion of humans into northern latitudes 284 285 and the missing data for eastern Central-European sites produces a different pattern with a reduced percentage of overlap. Generally, the percentage of overlapping Core Areas is 286 surprisingly low, given the constant presence of hunter-gatherers in Western Europe and 287 their quasi-constant presence in Central Europe (Table 2). 288

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#### 290 291 Table 2: Percentages of overlapping, expanding and contracting Core Area sizes for 292 paired phases.

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Comparison of two Phases	Map in <b>Figure</b> B	Sum of Core Areas of two phases (sq km)	% Overlapping areas	% Expanded areas	% Contracted areas	
Aurignacian P 1 and P2	(a)	157,750	33	48	18	
Aurignacian (all) and Grav.	(b)					
P1		257,875	34	60	6	
Gravettian P1 and P2	(c)	272,069	35	11	54	
Gravettian P2 and LGM	(d)	310,713	28	60	11	
LGM and Magdalenian	(e)	494,062	26	38	36	

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296 Note that the percentage of overlapping areas is guite constant through time, with decreases towards the 297 Magdalenian due to the overall expansion into northern latitudes.

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#### Table 3: Quantification of diachronic changes in Core Area sizes. | | Continuity Discontinuities | Percentage of areas 300

		continuity	Discontinuities		reitentage of areas within a single phase							
Phase	Core Areas (km <sup>2</sup> )	overlapping areas	expansion in comparison to previous phase	contraction in comparison to subsequent phase	overlapping in comparison to previous phase	expansion in comparison to previous phase	Sum	contraction in comparison to subsequent phase				
		(km²)	(km²)	(km²)	%	%	%	%				
Aurignacian Aur P1	103,686	n.a.	n.a.	14,836	n.a.	n.a.	n.a.	14				
(Proto/Early)	81,900	n.a.	n.a.	29,150	n.a.	n.a.	n.a.	36				
Aur P2 (Late)	128,600	52,750	75,850	n.a.	41	59	100	n.a.				
Gravettian P1	243,039	88,850	154,189	148,259	37	63	100	61				
Gravettian P2	123,810	94,780	29,030	35,300	77	23	100	29				
Maximum	275,413	88,510	186,903	161,113	32	68	100	58				
Magdalenian	332,949	114,300	218,649	n.a.	40	60	100	n.a.				

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302 Size and percentage of overlapping, contracting and expanding Core Areas are compared for each phase to previous (areas of overlap and expansion) and subsequent phases (areas of contraction).

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# 306 3.2 INSOLATION AND GROWING SEASON

307 An overview of the length, summed temperature and start of the growing season based on the regional climate model data for LGM and present day is given in Figure 3 and Table 4. 308 The number of days with daily mean temperature above 5°C are obviously much lower for 309 310 LGM climate conditions, which is in accordance to a much lower summed temperature during the growing season for LGM conditions. Likewise, there is a pronounced shift of the 1<sup>st</sup> day of 311 the year when daily mean temperatures above 5°C were simulated. For present day climate, 312 313 large parts of Western Europe already reach the first day with mean daily temperature above 5°C already in January. For LGM climate conditions, there is a shift of ~3 months into the 314 315 year until mean daily temperatures reach values above 5°C. 316

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Figure 3: Parameters relevant for the growing season based on WRF model output for present day climate: (a) number of days with a mean daily temperature > 5°C; (b) temperature sum of all days within the growing season and (c) the first day of the year with a mean daily temperature > 5°C. (d)-(f) same as (a)-(c) but for LGM climate conditions.

When looking at the changes in MJm<sup>-2</sup> for each month through the succession of the insolation reference dates as differences between consecutive reference dates (**Figure 4**), it becomes clear that the strongest differences throughout the period of observation occur during the months from April to October with differences of up to 60 MJm<sup>-2</sup> in May and June, while the insolation from December to February remained rather stable.

The accumulated differences throughout the entire period of observation (**Figure** 5) show that highest losses in solar energy occur during the month of May and June between 29 and 25 ka, whereas the highest gains can be observed for September and October of the same period.

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334 Figure 6 shows changes in MJm<sup>-2</sup> throughout the year as differences between two consecutive insolation reference dates. After an initial gain in insolation in the first part of the 335 year and a loss in the second from 42 to 37.5 ka, each of the following four units (37.5 to 27 336 ka) show the opposite trend with losses in the first half and gains in the second half. From 27 337 to 25 ka, the loss shifts to the middle of the year, while both the early and late parts of the 338 year gaining energy. From 23.5 ka onwards, the system shifts back to the first pattern, with 339 gains during the first half and losses during the second, but with a much higher amplitude. At 340 341 15 ka, a shift of energy gain towards the middle of the year is again observable. 342

343 Considering the accumulated differences with regard to the position and length of the 344 growing season at 45°N (**Figure** 7, 8, **Table** 4) is very informative and reveals long-term

changes. Three things are particularly remarkable. First, the shift from a gain of insolation 345 346 during the first half to a pronounced loss and back to a strong gain with a complementary development during the second half becomes much clearer. Second, more or less in 347 accordance with this shift, is a delay in the start of the growing season from mid-April 348 349 between 42 and 37.5 ka to mid-May between 27 and 25 ka. The end of the growing season also shifts backwards. Third, is the particularly conspicuous loss of solar energy during the 350 start of the growing season between 29 and 21 ka. Between 27 and 25.5 ka, plants have 351 352 about 60 MJm<sup>-2</sup> less for their photosynthesis during the crucial early part of the growing 353 season.









Figure 4: Differences in MJm<sup>-2</sup> for each month through the succession of the insolation reference dates as





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a: 42-37.5ka b: 37.5-33ka c: 33-31ka d: 31-29ka e: 29-27ka f: 27-25ka g: 25-23.5ka h: 23.5-20ka i: 20-17,5ka j: 17.5-15ka **Figure** 5: Differences in MJm<sup>-2</sup> for each month through the succession of the insolation reference dates as accumulated differences throughout all reference dates. For legend see Figure 4.



Figure 6. Changes in MJm<sup>-2</sup> throughout the year as differences between two consecutive insolation reference dates. For legend see Figure 4.





see Figure 4.





**Table 4. Parameters of the growing season**.

		42ka	37.5ka	33ka	31ka	29ka	27ka	25ka	23.5ka	21ka	20ka	17ka	15ka
50°N	ΣΤin°C*	1,77	1,74	1,75	1,75	1,71	1,64	1,55	1,48	1,43	1,46	1,65	1,95
50 N	Start in calender day*	127	125	131	135	141	148	, 148	148	136	132	123	117
	End in calender day*	261	250	251	259	266	275	279	279	268	264	251	247
	Length in calender days*	135	126	121	125	127	128	132	132	133	134	130	131

		2,57	2,59	2,61	2,54	2,47	2,43	2,01	2,09	2,24	2,28	2,50	2,76
45°N	ΣTin°C*	2	4	3	6	9	8	8	5	8	2	2	6
	Start in calender day*	169	166	162	153	152	163	165	168	171	170	170	163
	End in calender day*	107	106	112	122	127	130	132	128	116	113	104	101
	Length in calender days*	275	271	273	274	278	291	295	295	286	282	272	263
	Σ T in C° of first 30 days	291	320	317	341	314	277	267	253	261	279	312	338

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All values represent averages from 7 longitudes at -1°, 3.5°, 8°, 12.5°, 17°, 21.5°, and 26° E.

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# 4. DISCUSSION

4.1 A DIACHRONIC COMPARISON OF POPULATION DYNAMICS AND CHANGES INSOLAR INSOLATION

In order to assess the possible impact of changes in insolation on the demographic
 developments of the Upper Palaeolithic in Europe, we compare the results of both analyses
 diachronically. Here, shifts in Core Areas are taken as indicators for spatial population
 dynamics.

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402 4.1.1 42-33 ka – Aurignacian

403 For this period connected with the first extensive spread of anatomically modern humans into Central and Western Europe, we estimate a mean population size of 1,500 persons 404 (max.1,800 / min. 700; Schmidt and Zimmermann 2019). Core Areas were calculated for an 405 early phase (Proto and Early Aurignacian; Aur P1) and later phases (Aur P2; Figure 2: a, b), 406 407 although paleodemographic estimates for these two sub-phases were not possible and are 408 therefore given for the entire Aurignacian (Aurignacian all, see **Table 1**) (ibid.). It becomes clear that both phases show regional differences in their spatial pattern and several 409 indicators (e.g. number of sites per 1,000 years, size of the Core Areas) support a population 410 411 increase from the early to the late phase, particularly in the eastern part of the investigated area. Continuity through both phases can be attested for regions where viable populations 412 413 have been estimated for the entire Aurignacian (ibid.: Figure 2 and Table 3). However, almost 60 % of the area covered during the later phase relates to newly emerging and 414 415 expanding Core Areas (Table 3), occurring mainly in Northern Iberia, SW-France and the 416 Middle Danube/Moravian Region (Figure 9: a).

With regard to the environmental data, we observe some changes. While there is a slight trend towards a later start and shortening of the growing season (**Figure** 8), the summed temperature during the entire growing season and during the first 30 days are both rising with a first peak of the latter at 37.5 ka and of the former at 33 ka (**Table 4**). This gain seems to be more pronounced in Central than in Western Europe. Between 42 and 37.5 we see a rise in solar energy during the early growing season followed by a shift of maximum insolation to the middle part of the year between 37.5 and 33 ka (**Figure** 7).

### 425 4.1.2 33-29 ka – Early Gravettian

426 The demographic trend continues during the first half of the Gravettian and the overall estimate of people rises to a median of 2,800 (+900 / -1,100; Maier and Zimmermann 2017). 427 Core Areas with viable populations during the Aurignacian expand, especially in the Upper 428 and Middle Danube/Moravian Regions and new ones emerge across the entire TAC, 429 extending to the East and Southwest of Europe (Figure 9: b). Again, the areal increase 430 ranges around 60%, with an exceptionally low "loss" of regions covered by Core Areas 431 432 during the Aurignacian (only 14%). Estimated population density within the Core Areas, however, varies considerably from 2.7 to 0.3 persons per 100 km<sup>2</sup> (median often around 1.4, 433 434 ibid).





<sup>436</sup> 437

35%

-20

-15

Figure 9: Diachronic changes of Insolation and Core Areas during the Upper Palaeolithic. Core Areas are displayed for two successive periods. Colours indicating continuity (dark green), expansion (light green) and contraction (grey areas) of Core Areas. Changes in insolation (W/m<sup>2</sup>, see legend) during the respective phases are given as the difference between insolation at the end of each phase. a) Difference of insolation between 37.5 and 33 ka (Aurignacian P1 and P2); b) between 33 and 29 ka (Aurignacian all and Gravettian P1); c) between 29 and 25 ka (Gravettian P1 and P2); d) between 25 and 20 ka (Gravettian P2 and LGM); e) between 20 and 15 ka (LGM and Magdalenian). For reconstructed coastlines and TAC see Figure 2.

[W m<sup>-2</sup>]

20

20°E

15

10

10°E

5 0 5

0

-10

-5

A look at the environmental data shows that this phase is characterised by a continuation of the moderate decline during the first half of the year, coinciding with a slight delay in the onset of the growing season in comparison to previous periods (**Figure** 7 and 8). This trend is counteracted, however, by a strong gain in temperatures during the first 30 days of the growing season with the highest values of the entire period of observation at 31 ka (**Figure** 8).

452

# 453 4.1.3 29-25 ka Late Gravettian

The most exceptional and marked decrease in population size and distribution during the 454 entire Upper Palaeolithic of Europe has been detected for the later phase of the Gravettian 455 (Figure 9: c), with estimates dropping to a median value of 1,000 (+500 / -300; Maier and 456 457 Zimmermann 2017). Core Areas disappear in Western Central Europe and experience 458 considerable contraction and fragmentation in all other regions of Europe, except for central 459 Portugal. In comparison with the first half of the Gravettian, the spatial loss amounts to 61% (Table 3, see also Table 2). Only a few Late Gravettian Core Areas actually show evidence 460 461 of an expansion (23%) and thus it is not surprising that the Core Areas of the Late Gravettian overlap - also to an exceptionally high percentage of 77% - within the areas already 462 463 occupied previously.

464

Interestingly, the strong demographic decline coincides with the maximum delay in the start 465 466 of the growing season coupled with the maximum loss of solar energy during its early phase (Figure 7). At the same time, the sum of temperatures during the growing season drops 467 sharply towards 25 ka to the lowest values in the entire period of observation (Figure 8). The 468 renewed prolongation of the length of the growing season back to the level of 33 ka 469 apparently does not counteract these effects. In this context it is interesting to note the cave 470 471 bear, a species that was apparently reliant on high-quality plant food which made it vulnerable to decreasing vegetational productivity, goes extinct in Central Europe at around 472 28 ka (Pacher and Stuart 2008). 473

474

475 4.1.4 25-20 ka – The Last Glacial Maximum – Solutrean, Badegoulian, Early Epigravettian During the Last Glacial Maximum, we see a turnaround in the demographic downward trend. 476 477 Mean population estimates of 3,100 (+3,200 / - 1,800) show renewed population growth 478 (Maier et al. 2016). However, it is only in Western Europe that this growth is apparent, 479 whereas populations in Central Europe remain at a low level. Furthermore, increasing the temporal resolution within this period of 5 ka, it seems that roughly between 22 and 21 ka, 480 there is no evidence of hunter-gatherer north of 47°N. Previous tendencies towards 481 482 fragmentation of Core Areas disappear in southwestern / northern Iberia and turn into expansion and even merging of Core areas (Figure 9: d). In southern Iberia, the Cologne 483 484 Protocol detects Core Areas along the Mediterranean coast for the first time.

Environmentally, we again see an increasingly early start of the growing season, and the 485 growing season length even peaks at 21ka. After a minimum at 23.5 ka, the summed 486 temperatures of the first 30 days of the growing season rise again as does the sum of 487 temperature during the entire growing season. In addition, we see a slight increase in solar 488 energy during the first half of the year between 25 and 23.5 ka becoming a bit more 489 490 pronounced between 23.5 and 20 ka. However, Verpoorte (2009) notes a marked decline in the diversity of the faunal assemblages of Central Europe occurring at around 24 ka. A 491 492 similar pattern is reported by Jochim (1987) for south-western France. This might be related 493 to a delay in the faunal response because of a certain inertia of population dynamics with 494 regard to change in the environmental system.

495 496

# 4.1.5 20-15 ka – Magdalenian

Between 20 and 15 ka, the estimated number of people rises markedly to a mean of 7,700 (+3,000 / - 2,800), while the density in Core Areas ranges between 1.6 and 3.6 persons per 100 km<sup>2</sup> (Kretschmer 2015). With regard to spatial dynamics, it has to be stated that Core Areas are only available for Western Europe and the western part of Central Europe, since Epigravettian sites, located further East, have not been considered in the estimates. This

does, to some extent, explain the relatively high percentages (58%) of contracting areas 502 503 compared to the period of the LGM given in Table 3. Other contractions can be observed in south-western Europe (Figure 9: e). However, the general trend of continuity (40%) and 504 expansion (60%) in the spatial distribution of final Magdalenian Core Areas already observed 505 506 during the LGM does continue: together with the increasing population and the resettlement 507 of the higher latitudes, we see an increasingly early start of the growing season (the earliest of the entire study at 15 ka) coupled with increasing summed temperatures for both the entire 508 509 growing season (highest in the entire study at 15ka) and the first 30 days (second highest after 31 ka; Figure 8). In addition, there is a strong increase in solar energy during the first 510 511 half of the year and thus also during the beginning of the growing season (Figure 7).

512 513

514 4.2 INSOLATION AS A PACEMAKER FOR LONG-TERM DEMOGRAPHIC 515 DEVELOPMENTS?

For Palaeolithic hunter-gatherers, who extracted all their energy directly from their 516 517 environment without further manipulation, the availability and diversity of animal biomass is probably a key-factor allowing their continued presence in a region (Tallavaara et al. 2018). 518 519 For Western and Central Europe, terrestrial herbivores were the most important game. In addition to their role in human nutrition, they also provided useful raw materials, such as 520 antler, bone or ivory, making them crucial in the entire subsistence system (Soulier 2014; 521 Soulier et al. 2014). The abundance and diversity of herbivores in a landscape depends 522 essentially on the availability and quality of plant food in a region (Olff et al. 2002). 523

524 Usually when thinking about availability of (plant) food, quantity and quality are the factors being considered. However, timing also seems to be of major importance. After long glacial 525 winters, herbivorous animals depend on the availability of sufficient high-quality plant food in 526 spring. Not only do they have to replenish their reserves, but this is also the period when 527 528 their offspring are born. Spring is thus a crucial period for herbivorous animals and one during which they are rather vulnerable to shifts in plant phenology and environmental stress 529 (Debeffe et al. 2019). The green-up of the landscape is also a key-factor for the timing and 530 movements of ungulates for spring migration (Merkle 2016). Shifts, delays, and unforeseen 531 changes in the migration patterns of their most important prey species, in turn, have a high 532 533 potential to cause problems for hunter-gatherers (Krupnik 2018; Mandryke 1993; Smith 1978). As shown above, spring is the period affected the most by the changes in solar 534 insolation between 43 and 15 ka. Between 25 and 23.5 ka, the growing season was delayed 535 536 by about a month. Moreover, when it started, the available solar energy and temperatures were very low. Low temperatures also have negative influence on seed germination and 537 seedling establishment (Trugdill et al. 2000; Zhang et al. 2018). The cooling effect of 538 permafrost on soil temperature likely further hampered germination at 25ka, impeding the 539 540 start of the growing season and thus biomass production even more strongly than estimated 541 from insolation alone. Additionally, a lower  $CO_2$  level (Van Meerbeeck et al. 2009) probably reduced the growth of at least C3-plants (Bartlein et al. 2010) and waterlogging in the active 542 543 layer of permafrost soils likely further handicapped plant growth. Impeded germination eventually leads to fewer mature plants and less seeds for the following year, leading to a 544 self-reinforcing reduction of the vegetation. It can thus be surmised that between 25 and 23.5 545 ka plant food was available only considerably later during the year, and when it appeared, 546 overall biomass production was rather low. This likely caused substantial problems and 547 548 environmental stress for herbivorous populations, especially in higher latitudes (Belovsky 549 1988; Mandryk 1993: 56). Such a shift in seasonality with a prolongation of the winter-period 550 and a severely delayed start of the growing season might also explain the peculiar finding of virtual absence of mammoth during the LGM in large parts of Europe (Stuart 2005). With 551 552 their high demand for plant food, the carrying capacity during springtime may have been too 553 low to support a viable mammoth population.

554 The changes in solar insolation and the dependent vegetational system probably led to a 555 loss of animal diversity, delayed spring migrations and eventually a strong reduction and/or

withdrawal of larger herbivores from higher latitudes. In combination, these factors probably 556 557 made the northern areas also inhospitable for hunter-gatherers, who apparently vanished from these regions. However, the lower latitudes were also affected by decreasing spring 558 559 insolation with presumably negative effects on the net production of primary biomass; this is 560 congruent with a general population decline at around 25 ka in Western and Central Europe. It is only with a renewed increase in spring insolation and an ever-earlier start to the growing 561 season after 20ka, that populations in Western and Central Europe showed a considerable 562 563 increase in numbers and densities and an expansion of their Core Areas into the higher 564 latitudes.

565 Ultimately, it can be stated that this parallel development in palaeodemography and solar 566 insolation is unlikely to be merely coincidental. It seems that for the spatially large-scale and 567 long-term development of hunter-gatherer populations, in terms of both their numbers and 568 distribution, solar insolation is an important driver.

569 570

# 5. CONCLUSION

It seems that ecosystems in Europe between 43 and 15 ka reacted very sensitively to gains 571 572 and losses in solar energy and potentially resulting changes in plant and animal phenology. This effect seems to be particularly pronounced in the higher latitudes (cf. Feurdean et al. 573 2014), but affected the lower ones as well. Stronger reactions in the north are likely a 574 575 consequence of generally lower temperatures and insolation values. Hence, while a reduction in the lower latitudes still left enough energy for a timely start of the growing 576 season, a reduction at higher latitudes may have quite noticeable and rather severe 577 consequences. For hunter-gatherers depending on the resources provided by their 578 environment, it is not the total amount of annual insolation that is important. Rather it is the 579 580 available energy during the growing season that, via plant biomass and herbivorous animals. affects the basis of existence of Palaeolithic hunter-gatherers. Interestingly, a late onset of 581 582 the growing season seems to have more severe consequences for the ecosystem than a 583 shorter duration.

584 Anatomically modern humans arrived in Europe during a period of medium intensity solar insolation. Subsequently, a moderate gain in insolation during the growing season was 585 accompanied by moderate population growth and spatial extension of the Core Areas. Over 586 the following millennia, the coupled effects of a late start to the growing season, a reduced 587 amount of energy during its early phase, and a low overall temperature throughout its 588 duration likely led to a considerable reduction in plant biomass availability until around 23.5 589 ka. It is highly likely that this development led to a reduction of the diversity and abundance 590 of herbivorous animals in the landscape and might be part of the explanation for the virtual 591 592 absence of mammoth during the LGM. We hence argue for assuming an indirect causal relationship, mediated by changes in animal abundance, between the decline of solar 593 insolation and the pronounced decline of hunter-gatherer populations in Western and Central 594 595 Europe between 29 and 25 ka. The same causal relationship can be assumed between the increase in spring insolation, an ever-earlier starting growing season and the demographic 596 597 growth and spatial expansion of hunter-gatherer groups after 23.5 ka ago.

598

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